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P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/814,616

03/31/2004

Susanne A. Paul

SIL.P0079

30163 JOHNSON & ASSOCIATES PO BOX 90698 AUSTIN, TX 78709-0698 CONFIRMATION NO. 5436 FORMALITIES LETTER

\*OC000000012931050\*

Date Mailed: 06/14/2004

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$900 for a Large Entity

• \$779 Statutory basic filing fee.

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

10/21/2004 CNGUYEN 00000114 10814616

01 FC:1001 02 FC:1051

790.00 OP

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY



PATENT TRADEMARK OFFICE

PTO/SB/21 (12-97)

Approved for use through 10/31/2002. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Signature

6

Application Number	10/814,616
Filing Date	3/31/2004
First Named Inventor	Paul, Susanne A.
Group Art Unit	2831
Examiner Name	
Attorney Docket Number	SIL.P0079

ENCLOSURES (check all that apply)								
ENCLOSURES (check all that apply)								
Ø	Fee Transmitta	al Form		Assignment Papers (for an Application)	Ш	After Allowance Communication to Group		
	Fee Att	tached		Drawing(s)		Appeal Communication to Board of Appeals and Interferences		
	Amendment / F	Reply		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
	After Fi	inal		Petition		Proprietary Information		
	Affidav	vits/declaration(s)		Petition to Convert a Provisional Application		Status Letter		
V	Extension of Ti	ime Request	Power of Attorney, Revocation Change of Correspondence Address		$   \overline{V} $	Additional Enclosure(s) (please identify below):		
	Express Aband	donment Request		Terminal Disclaimer		- Postcard		
	Information Dis	sclosure Statement		Request of Refund				
	Certified Copy of Priority Document(s)			CD, Number of CD(s)				
V			Rema	arks				
Response to Missing Parts under 37 CFR 1.52 or 1.53								
		SIGNAT	URE (	OF APPLICANT, ATTORNEY, OR A	AGENT	Г		
Firm		Johnson & Assoc	ciates	<del></del>				
lndivio	dual name	Bruce A. Johaso	/	•				
Signa	Signature Signature							
Date	Date October 14, 2004							
				ICATE OF TRANSMISSION/MAILIN		,		
sufficier	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed name Bruce A. Johnson								

Date

October 14, 2004

PTO/SB/17 (09-00)

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## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

\$1,350.00

**TOTAL AMOUNT OF PAYMENT** 

Complete if Known					
Application Number	10/814,616				
Filing Date	3/31/2004				
First Named Inventor	Susanne A. Paul				
Examiner Name					
Group Art Unit	2831				
Attorney Docket No.	SIL.P0079				

METHOD OF PAYMENT	FEE CALCULATION (continued)					
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES					
Deposit Account Number	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name	105	130	205	65	Surcharge - late filing fee or oath	\$130
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$0
Applicant claims small entity status. See 37 CFR 1 27	139	130	139	130	Non-English specification	\$0
✓ Payment Enclosed:	147	2,520	147	2,520	For filing a request for ex parte reexamination	\$0
☐ Check ☑ Credit Card ☐ Money ☐ Other	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	\$0
FEE CALCULATION	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	\$0
1. BASIC FILING FEE	115	110	215	55	Extension for reply within first month	\$0
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Pald Code (\$) Code (\$)	116	430	216	215	Extension for reply within second month	\$430
101 790 201 395 Utility filing fee \$790	117	980	217	490	Extension for reply within third month	\$0
106 340 206 170 Desian filina fee	118	1.390	218	695	Extension for reply within fourth month	\$0
107 490 207 245 Plant filing fee	128	1.890	228	945	Extension for reply within fifth month	\$0 \$0
108 750 208 375 Reissue filing fee	119 120	310 310	219 220	155 155	Notice of Appeal Filing a brief in support of an appeal	\$0 \$0
114 100 214 00 Flovisional filling lee	121	270	221	135	Request for oral hearing	\$0
SUBTOTAL (1) \$ 790.00	138	1,510	138	1,510	Petition to institute a public use proceeding	\$0
( )	140	110	240	55	Petition to revive – unavoidable	\$0
2. EXTRA CLAIM FEES	141	1,240	241	620	Petition to revive – unintentional	\$0
Fee from Extra Claims below Fee Paid	142	1,240	242	620	Utility issue fee (or reissue)	\$0
Total Clams 0 -20**= 0 X \$18 = \$0.00	143	440	243	220	Design issue fee	<b>\$</b> 0
	144	600	244	300	Plant issue fee	\$0
Independent Claims         0         -3**=         0         X         \$88         =         \$0.00	122	130	122	130	Petitions to the Commissioner	\$0
Multiple Dependent =	123 126	50 240	123 126	50 240	Petitions related to provisional applications Submission of Information Disclosure Stmt	\$0 \$0
Large Entity Small Entity					Recording each patent assignment per	
Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	. 581:	40	581	40	property (times number of properties)	\$0
103 18 203 9 Claims in excess of 20	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	\$0
102 88 202 44 Independent claims in excess of 3	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	\$0
104 270 204 1.35 Multiple dependent claim, if not paid	179	710	279	355	Request for Continued Examination (RCE)	\$0
109 80 209 40 ** Reissue independent claims over original patent	169	900	169	900	Request for expedited examination of a design application	\$0
110 18 210 9 ** Reissue claims in excess of 20	\$0					\$0
and over original patent  SUBTOTAL(2) \$0.00	Other fee (specify)  * Reduced by Basic Filing Fee Paid  * SUBTOTAL (3)  \$560.00				\$560,00	
30B101/Ag(z) \$0.00	reduce	u uy basic	rullig rec	raiu	SUBTOTAL (3)	φ300.00

SUBMITTED BY Complete (if applicable) Registration No. Name (Print/Type) Bruce A. Johnson 37361 512-301-9900 Telephone (Attorney/Agent) Signature 10/14/04 Date

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is distinated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.